

VONTobel

Zurich, January 13, 2017/VBFRG  
Telephone 0041 58 283 50 56  
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roger.fivat@vontobel.ch

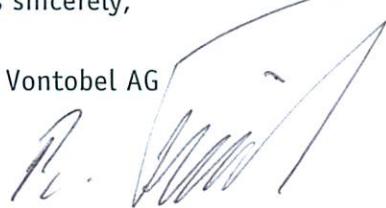
United States Bankruptcy Court  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408  
United States

Please note:

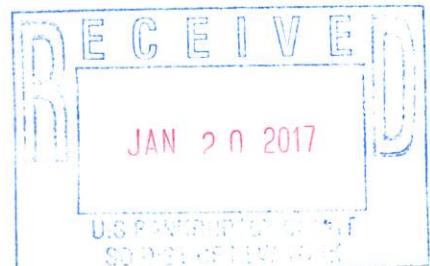
Enclosed please find one form „Evidence of Transfer of Claim”.  
Please note, that the mentioned Lehman Products are deposited in our bank.  
Our Claim number is: 67373  
If you have any questions, don't hesitate to contact me.

Yours sincerely,

Bank Vontobel AG



Fivat Roger



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Bank Vontobel AG, Zurich / Switzerland  
Name of Transferee

Name and Address where notices to transferee should be sent:

Bank Vontobel AG  
Gotthardstrasse 43  
Corporate Actions  
Postfach  
CH-8022 Zurich / Switzerland

Phone: 0041 58 283 50 56

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

UBS AG, Zurich / Switzerland  
Name of Transferor

Court Claim # (if known): 59233  
Date Claim Filed: not known  
Amount of Claim: to be determined  
Portion of Claim Transferred (see Schedule I): Units -141-

Phone: \_\_\_\_\_  
Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: J. Devereux R. [Signature]  
Transferee/Transferee's Agent

Date: May 28, 2015

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

\_\_\_\_\_  
Bank Vontobel AG  
Gotthardstrasse 43  
CH-8022 Zürich



**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

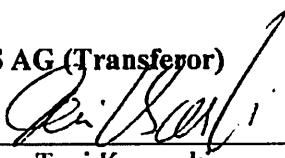
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

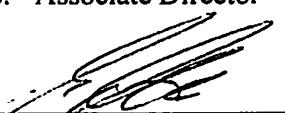
For value received, the adequacy and sufficiency of which are hereby acknowledged, **UBS AG Zurich, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **Bank Vontobel AG, Zurich** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 59233) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Numbers listed in Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED THIS 11th day of January 2017.

UBS AG (Transferor)

By:   
Name: Toni Kocevski  
Title: Associate Director

By:   
Name: Matthias Mohos  
Title: Associate Director

**SCHEDELE I**

**Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Principal Amount/Nominal Amount/ Amount of Claim related to Security</b>
CH0027120770	59233	October 30, 2009	Lehman Brothers Treasury BV	Units 141.00